



Joint Call on Efficient Energy Storage and Distribution

CALL TEXT AND NATIONAL / REGIONAL REGULATIONS

(Version as of the 02/05/2017)

Proposal Submission Period:
Tuesday 2, May 2017 to Friday 14th, July 2017
(Deadline of 12:00 (midday) CEST/ 19:00 Japanese time)

Websites:
<http://www.concert-japan.eu/> (for Call Text and National/Regional regulations)
https://secure.pt-dlr.de/ptoutline/app/eig_ic2017 (for online proposal submission portal)

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TABLE OF CONTENTS

PART 1: CALL TEXT	3
1. Background and rationale of the EIG CONCERT-Japan Joint Call.....	3
1.1. <i>EIG CONCERT-Japan</i>	3
1.2. <i>EIG CONCERT-Japan Joint Calls</i>	3
2. The EIG CONCERT-Japan Joint Call on Efficient Energy Storage and Distribution	4
2.1. <i>The thematic area</i>	4
2.2. <i>Participating countries and regions</i>	4
2.3. <i>Eligible beneficiaries and composition of the consortia</i>	5
2.4. <i>Eligible costs and duration of funding</i>	6
2.5. <i>Call budget and funding principle</i>	7
3. Proposal submission	7
4. Proposal evaluation and funding decision	8
4.1. <i>Eligibility check</i>	8
4.2. <i>Online evaluation of proposals</i>	8
4.3. <i>Meeting of the Scientific Committee</i>	9
4.4. <i>Meeting of the Funding Organisations Forum</i>	9
5. Project implementation and reporting.....	9
6. Indicative timetable*	10
[*THIS IS AN APPROXIMATE IMPLEMENTATION SCHEDULE AND IS SUBJECT TO CHANGE]	
PART 2: NATIONAL AND REGIONAL FUNDING REGULATIONS	11
Japan – Japan Science and technology Agency (JST)	11
France – Centre National de la recherche Scientifique (CNRS)	13
Germany – Federal Ministry of Education and Research (BMBF)	14
Spain – Ministry of Economy, Industry and Competitiveness – through the State Research Agency (MINECO – AEI)	16
Turkey – The Scientific and Technological Research Council of Turkey (TUBITAK)	18
Lithuania – Agency for Science, Innovation and Technology (MITA).....	22
Norway – Research Council of Norway (RCN).....	24
Slovakia – Slovak Academy of Sciences (SAS)	25
Czech Republic – Czech Academy of Sciences (CAS)	27
PART 3: CONTACT INFORMATION OF THE EIG CONCERT-JAPAN JOINT CALL SECRETARIAT AND NATIONAL/REGIONAL CONTACT PERSONS	28

PART 1: Call Text

1. Background and rationale of the EIG CONCERT-Japan Joint Call

1.1. EIG CONCERT-Japan

The European Interest Group (EIG) CONCERT-Japan (<http://www.concert-japan.eu>) is an international joint initiative to support and enhance science, technology and innovation (STI) cooperation between European countries and Japan. The EIG CONCERT-Japan is flexible and inclusive in nature, able to accommodate a range of forms of collaboration from unanimous concerted efforts to optional participation among its core members and other interested STI institutions.

The primary function of the EIG CONCERT-Japan is to collaboratively implement multilateral joint funding with the objective of enhancing the cooperation and promoting the transnational mobility between European countries and Japan in various fields of STI. As far as it is relevant to that objective, the EIG CONCERT-Japan also aims to facilitate coordination between participating organisations in their activities relating to the programmes of the European Union (EU) and those undertaken within other international collaborative frameworks through the identification of common preferences, priorities and areas of mutual interest.

CONCERT-Japan began as an ERA-NET project funded by the 7th EU Framework Program for Research and Technical Development (FP7) from 2011 to 2014. As an FP7 project, CONCERT-Japan had a high impact on the coherence and coordination of European collaboration and joint funding activities with Japan as a partner country. Several years of experience in multilateral calls through ERA-NETs have shown a high relevance of the schemes and the need for continuation. Therefore, the European Interest Group was founded as a flexible platform for communication and coordination among STI institutions in Europe and Japan. The collaboration, which began in the FP7 project, is now continuing independently of EU support as an activity of the European Interest Group, hence the name “EIG CONCERT-Japan”.

As a collaborative funding activity of the EIG CONCERT-Japan (the fourth of CONCERT-Japan, the second as an EIG activity), the core members representing France, Germany, Spain, Turkey, Lithuania, Norway, Slovakia, Czech Republic and Japan have decided to implement a Joint Call on the theme of “Efficient Energy Storage and Distribution”.

1.2. EIG CONCERT-Japan Joint Calls

EIG CONCERT-Japan Joint Calls aim to support sustainable and multilateral research cooperation, especially promoting the transnational mobility between European and Japanese researchers, through:

- Enhancing inter-regional communication and cooperation
- Increasing and developing opportunities for high quality research networking between researchers
- Developing new partnerships and strengthening existing ones
- Exchanging existing knowledge and creating new knowledge

Previous CONCERT-Japan Joint Calls have been implemented on the themes of “efficient energy storage and distribution” and “resilience against disasters” (2012), “photonic manufacturing” (2014), “Food crops and biomass production technologies” (2016) with more than a hundred joint applications received in total.

2. The EIG CONCERT-Japan Joint Call on “Efficient Energy Storage and Distribution”

2.1. The thematic area

This EIG CONCERT-Japan Joint Call will focus on the thematic area of “**Efficient Energy Storage and Distribution**”.

Background

Energy storage and distribution technologies have critical importance for transition to and operation of a more efficient, sustainable and low carbon energy system. In the past, the transport and distribution of energy - regardless of its source - was managed in a relatively direct manner going in a single direction from producers or suppliers to the consumer. The integration of conventional sources of energy and renewable sources of energy (wind, solar etc.) is calling for the development of energy storage technologies on a large scale as well as for the expansion of energy grids and particularly electricity grids. Existing grids will need to be adapted and engineered to cope with the increased use of renewable energy and decentralization of the power supply. Only some of the technologies needed in this respect are currently available and basic research and technology development in energy storage and grid infrastructures need to be intensified. At the systemic level, the management and optimization of an energy system with increased degrees of freedom can be a further driver for future research.

Purpose

The overall aim of this call is to support research in developing more efficient, reliable, secure, flexible and sustainable technologies in energy storage systems and distribution of electricity.

Description

Intensive research is needed for the development of improved energy storage and distribution technologies.

In the scope of this call, energy storage research may address all areas of energy storage technologies including:

- Chemical and electrochemical technologies (including alternatives to critical metals used in efficient energy storage);
- Electrical technologies;
- Mechanical and thermal storage technologies.

The research could address stationary applications as well as mobile applications. Research may range from the development of improved storage systems for smart grids to hydrogen and solid oxide fuel cells for vehicles.

Research on energy distribution within this call is expected to address innovative energy transmission and distribution concepts. The key aspects in this context cover a broad spectrum: they range from the development of new components (such as direct current power transmission technology and superconductivity technology) to modelling for planning purposes and secure, efficient operation of electricity grids as well as to the demonstration and assessment of new technologies and systems analysis issues. In this context, research may address:

- Numerical simulation of smart grids;
- Microgrids and renewable energy integration;
- IT solutions such as Scada systems;
- Grid integration of renewable energy sources as well as other distributed and intermittent energy sources;
- Electromobility and challenges for power systems.

This call for Efficient Energy Storage and Distribution is not exclusive to the above mentioned research issues and the call is open to any other research issue addressing the problems in efficient distribution and storage of energy. Proposals that are orientated towards emerging technologies or ideas based on unique and innovative concepts are encouraged.

2.2. Participating countries and regions

The following national/regional funding organisations have agreed to participate in this Joint Call, leveraging their national/regional R&D programmes and applying their respective funding regulations:

- **Japan:** Japan Science and Technology Agency (JST)
- **France:** National Centre for Scientific Research (CNRS)
- **Germany:** Federal Ministry of Education and Research (BMBF)
- **Spain:** Ministry of Economy, Industry and Competitiveness – through the State Research Agency (MINECO - AEI)
- **Norway:** Research Council of Norway (RCN)
- **Turkey:** The Scientific and Technological Research Council of Turkey (TUBITAK)
- **Lithuania:** Agency for Science, Innovation and Technology (MITA)
- **Slovakia:** Slovak Academy of Sciences (SAS)
- **Czech Republic:** Czech Academy of Sciences (CAS)

Only researchers based in these countries/regions are eligible for funding in this EIG CONCERT-Japan Joint Call.

2.3. Eligible beneficiaries and composition of the consortia

In general, this EIG CONCERT-Japan Joint Call is open to applications from **public and private legal RTD entities, higher education institutions and non-university research establishments**, as well as enterprises and other kinds of organisations (the “beneficiaries”) depending on the individual national/regional regulations and restrictions of the participating funding institutions (see Part 2).

Each project consortium submitting an application should consist of **at least 3 eligible beneficiaries (project partners), one from Japan and two from two different European countries participating in the Joint Call**. The number of beneficiaries in a project consortium

should be appropriate for the aims of the research project and reasonably balanced in terms of multilateral participation. Applications should clearly demonstrate the added value of working together.

Each project consortium should choose **two project leaders, one from the Japanese side and one from the European side**. One of the two project leaders (the “principal project leader”) will be responsible for submitting the proposal on behalf of the whole consortium. Project leaders may participate in only one project proposal. Each beneficiary will be represented by one (and only one) Principal Investigator (PI) and this PI will be the contact person for the relevant national/regional funding organisation.

Researchers/research teams from countries/regions not participating in this EIG CONCERT-Japan Joint Call may participate as additional partners in a project consortium (after satisfying the minimum consortium criteria) if they can prove that they have secured funding from other sources that allows them to fulfil their obligations within the consortium. A **confirmation letter** from the external funding institution has to be submitted at the time of proposal submission.

A **partnering webtool** provided by DLR is available to help applicants finding potential research partners within the framework of the EIG CONCERT-Japan Joint Call on Efficient Energy Storage and Distribution: <https://partnering.pt-dlr.de/CONCERT2017>.

2.4. Eligible costs and duration of funding

Within the framework of this EIG CONCERT-Japan Joint Call, costs eligible for funding may be:

- **Research materials and small-scale research equipment:** Consumables, small equipment and subcontracting (for example for the fabrication of samples at a specialised facility) are eligible if their need is listed in detail and duly justified
- **Travel costs:** Only travel costs and associated living expenses incurred in travel to the countries participating in the same project consortium (including additional partners from countries/regions other than those participating in the Joint Call who secure their own funding) are eligible
- **Expenses for the organisation of small scientific events:** Room rent, catering, printing of conference brochures, travel and accommodation of invited external experts (that do not form part of the project consortium but are considered necessary for the success of the event) are eligible
- **Personnel costs**

The **eligibility of all costs for each applicant depends on the relevant national/regional regulations** applied by each Joint Call funding institution and should be calculated accordingly (see Part 2).

All project partners are advised to contact their National and Regional Contact Persons (see Part 3) for further information on eligible costs and national and regional specifications.

Funding will be provided for the maximum duration of 3 years. The funding of projects should start in April 2018 and should be concluded by March 2021.

2.5. Call budget and funding principle

This EIG CONCERT-Japan Joint Call follows the principle that the national/regional financial contributions to a virtual common pot will be assigned to project partners of that respective country/region only, in accordance with national and regional regulations. **Cross-border funding is not envisaged.**

The overall budget of this EIG CONCERT-Japan Joint Call is the sum of the individual budgets allocated by each participating funding institution. If more than one funding institution from a given country participates in the Joint Call, the combined amount from these institutions is considered as the country's overall Joint Call contribution.

Below is an overview of the individual national/regional funding contributions envisaged to this EIG CONCERT-Japan Joint Call budget by each participating funding institution:

Country/funding institution	Amount
Japan: Japan Science and Technology Agency (JST)	€ 750 000
France: National Centre for Scientific Research (CNRS)	€ 110 000*
Germany: Federal Ministry of Education and Research (BMBF)	€ 750 000
Spain: Ministry of Economy, Industry and Competitiveness – through the State Research Agency (MINECO - AEI)	€ 300 000
Turkey: The Scientific and Technological Research Council of Turkey (TUBITAK)	€ 800 000
Lithuania: Agency for Science, Innovation and Technology (MITA)	€ 100 000
Norway: Research Council of Norway (RCN)	€ 900 000
Slovakia: Slovak Academy of Sciences (SAS)	€ 120 000
Czech republic: Czech Academy of Sciences (CAS)	€ 120 000
Overall call budget	€ 3 950 000

[*CNRS personnel costs are not included in the framework of the EIG CONCERT-Japan Call budget. CNRS funding covers only the surcoût international of the project.]

It is expected that **5 projects** will be funded in this EIG CONCERT-Japan Joint Call.

Some funding institutions may choose to set an upper limit on the amount that can be requested per project partner coming from their country/region. **Applicants should therefore thoroughly check the national and regional regulations (Part 2) and contact their National and Regional Contact Persons (Part 3) for information.**

3. Proposal submission

Project proposals are to be submitted between Tuesday 2nd, May 2017 and Friday 14th, July 2017 electronically using the PT-Outline webtool:

https://secure.pt-dlr.de/ptoutline/app/eig_ic2017

(The server will not accept proposals outside of this application period)

The principal project leader should submit the proposal via the webtool on behalf of the whole consortium. Only one online proposal per project is needed. Proposals sent by post, e-mail, fax, telex or facsimile will be rejected. Proposals must be written in English.

Some participating funding institutions may require the applicant from their country/region to submit a parallel proposal in line with the national/regional requirements.

Before the submission of a proposal the national or regional regulations (see Part 2) applicable for each consortium partner should be thoroughly checked.

4. Proposal evaluation and funding decision

A four-step evaluation process will be implemented for proposals submitted within this EIG CONCERT-Japan Joint Call.

4.1. Eligibility check

The Joint Call Secretariat and the National and Regional Contact Persons (see Part 3) will check the eligibility of all submitted proposals taking into consideration the general Joint Call criteria and the individual national/regional criteria respectively. If a partner is found to be non-eligible by one of the funding organisations, the entire proposal may be rejected without further review.

The **general eligibility criteria** are:

- Appropriate length and layout of the proposal (maximum number of pages adhered to and use of template for the Project Description)
- Inclusion of all necessary information in English
- Eligibility of all project partners
- Participation of at least three eligible project partners (beneficiaries), from a minimum of two different European countries participating in this Joint Call and Japan
- Eligible thematic focus
- Eligibility of requested funding
- Inclusion of a confirmation letter from the external sponsoring institution in case of additional partners that need to secure their own funding

The **national/regional eligibility criteria** of the participating funding institutions are given in the National and Regional Funding Regulations (Part 2).

Proposals meeting all eligibility criteria will be forwarded to the online evaluation stage.

4.2. Online evaluation of proposals

The selection of project proposals to be funded is based on an **international, independent evaluation by scientific peers**. Each proposal is assessed and given a written evaluation by at least two evaluators, nominated by the participating funding institutions.

The following **three evaluation criteria** are used:

1. Scientific excellence (scoring from 0 to 5 points)

- Sound research concept and quality of objectives
- Ambition, innovative potential and uniqueness of the research idea
- Scientific track–record / potential of the partners (including publications in scientific journals)
- Scientific standing of the organisations the applicants belong to

2. Impact of project results (scoring from 0 to 5 points)

- Impact of the project on the scientific field/community
- Contribution to enhancing innovation capacity and integration of new knowledge
- Expected exploitation and dissemination of the results
- Added value of the multilateral project consortium

3. Implementation (scoring from 0 to 5 points)

- Quality and effectiveness of the methodology
- Feasibility of the work plan (in relation to governance, adequate budget, resources, time schedule)
- Collaborative interaction and complementarity of project partners
- Expected sustainability of the collaboration
- Interdisciplinarity
- Involvement of early-stage researchers and gender balance

The **scoring system** is as follows:

- 5: EXCELLENT** – The proposal fully satisfies all relevant aspects of the criterion in question. Any shortcomings are minor.
- 4: VERY GOOD** – The proposal addresses the criterion very well, although certain improvements are possible.
- 3: GOOD** – The proposal broadly addresses the criterion, but improvements are necessary.
- 2: FAIR** – There are serious inherent weaknesses in relation to the criterion in question.
- 1: POOR** – The criterion is addressed in an inadequate and unsatisfactory manner.
- 0: FAILS / INCOMPLETE INFORMATION** – The proposal fails to address the criterion in question or cannot be judged due to missing or incomplete information.

4.3. Meeting of the Scientific Committee

A Scientific Committee, comprising one expert nominated by each participating funding institution and chaired by an independent chairperson, will **rank the proposals based on the online evaluations and internal discussion and recommend to the Funding Organisations Forum a list of proposals to be funded.**

4.4. Meeting of the Funding Organisations Forum

The Funding Organisations Forum, comprising representatives of each participating funding institution, will take **the final decision on the project proposals to be funded on a consensus basis**, based on the recommendations of the Scientific Committee and in consideration of available budget. Funding will be administered in accordance with the rules and regulations of the participating national and regional funding institutions.

All applicants will be informed by the Joint Call Secretariat of the outcomes of the evaluation.

5. Project implementation and reporting

Following announcement of the funding decision to successful applicants, the project partners of each proposal to be funded will conclude an individual funding contract with their respective national/regional funding institution. Support to funded projects will be provided on the basis of these funding contracts and in accordance with all applicable national/regional rules and regulations.

Each project consortium funded in this EIG CONCERT-Japan Joint Call is **requested to conclude a Consortium Agreement** listing the rights and responsibilities of each project partner. Depending on the nature of the funded project, special regulations should be included in the Consortium Agreement regarding **Intellectual Property Rights**. Scientific and technological outcomes and any other information derived from the collaborative activities funded in this call can be announced, published or commercially exploited with the agreement of all partners in a funded project and according to the national/regional regulations as well as international agreements concerning intellectual property rights. The Consortium Agreement must be signed by all project partners and sent to the Joint Call Secretariat by the principal project leader preferably before the official project start date but no later than six months after the official project start date.

The principal project leaders of the funded projects will be requested to send **interim reports (after one and two years of funding) as well as a final report (after three years of funding)** to the Joint Call Secretariat. The reports should highlight the main results and outputs of the projects and any problems that have arisen and how they have been solved. Report templates will be prepared to ensure the comparability of information.

Additional reporting to the individual national/regional funding institutions may also be necessary depending on national/regional regulations (see Part 2).

In case of any significant changes in the work program or the consortium composition, the principal project leader must inform the Joint Call Secretariat, who will inform the relevant funding organisations, who will decide upon the proper action to be taken.

6. Indicative timetable*

Pre-announcement of the Call	10 th April 2017 – 30 th April 2017
Publication of the Call for Proposals	2 nd May 2017
Deadline for proposal submission	14 th July 2017
Eligibility Check	15 th July 2017 – 31 st July 2017
Online Evaluation	21 st August 2017 – 25 th September 2017
Scientific Committee meeting	October 2017
Funding organisations Forum meeting	October 2017
Publication of results online & Notification letters to Applicants	November 2017
Preparation of national/regional funding agreements	November 2017 – January 2018
Starts of Project	April 2018
Interim project reporting 1	April 2019
Interim project reporting 2	April 2020
Final project reporting	April 2021

[* This is an approximate implementation schedule and is subject to change]

PART 2: National and Regional Funding Regulations

Japan - Japan Science and Technology Agency (JST)

The official national call announcement for Japan is published on the JST website:

http://www.jst.go.jp/sicp/announce_cj4.html

→ Who can apply?

Any independent researcher personally affiliated with (and actively conducting research at) a domestic Japanese research institution (or who would fulfil this requirement by the start of the research project if not currently affiliated with a research institution in Japan), regardless of nationality, is eligible to apply.

“Domestic Japanese research institution” in Japan refers to universities, independent administrative institutions, national/public testing and Research Institutions, specially authorized corporations, public-service corporations and enterprises, etc. that must satisfy predetermined requirements designated by MEXT. Please refer to the MEXT homepage for more information:

http://www.mext.go.jp/a_menu/kansa/houkoku/1301688.htm

In addition to the joint application process, Japanese applicants will have to register their applications on the Cross-Ministerial R&D Management System (e-Rad):

<https://www.e-rad.go.jp/index.html>

Applicants must complete a research ethics training program conducted by the research institute with which they are affiliated and then declare the completion of the program to JST within 30 days after the deadline of the call for proposals. If it would be very difficult for them to undertake a program provided by their own institute, they should contact JST to register for a research ethics program provided by CITI Japan. Unless applicants complete a research ethics program, their application will be deemed ineligible for funding.

Funded researchers will also have to complete a consortium agreement and should consult within their own institutions regarding their regulations. Further guidance can be found on the JST website:

<http://www.jst.go.jp/inter/sicorp/contract.html> (available in Japanese only)

→ What types of funding are eligible for researchers in Japan?

The level of the funding available to Japanese researchers may be up to 4,600,000 Japanese Yen in direct costs per year (i.e. overall around 13,800,000 Japanese Yen for the three years of funding) plus 30% of that amount as indirect costs. Funding will be made in Japanese Yen.

1. Eligible direct costs

In principle, eligible direct costs are those costs directly necessary for accomplishing the research, indicated below from 1.1 to 1.4. Please refer to the guidance documents available at the following link for further details of eligible direct costs (available in Japanese only).

<http://www.jst.go.jp/inter/sicp/contract.html>

1.1 Research materials and small-scale research equipment

Research equipment, spare parts, prototypes, software (in-line product), and purchase of books, reagents, materials and consumables

1.2 Personnel costs

Personnel costs of the researchers, temporarily staff, etc., who are hired for the research, and other costs such as honoraria for invited lecturers

1.3 Travel costs

Travel costs and associated living expenses of the Project Leader as well as research project members registered in the research project plan, and costs of inviting external experts

1.4 Expenses for the organisation of small scientific events in Japan

Rental costs for the event venue, food & beverage (excluding alcohol) costs and other costs which are deemed to be necessary for organizing the event

2. Eligible indirect costs

Please refer to the following link for the provisions regarding indirect costs:

<http://www8.cao.go.jp/cstp/compefund/shishin2.pdf>

Who can apply?

Research proposals may be submitted by any laboratory or joint research unit (UMR) affiliated to CNRS.

What types of funding are eligible for French researchers?

1. Eligible direct costs

The level of the funding of French researchers may be up to around **EUR 8,000-12,000** per project per year, over 3 years.

National rules and CNRS internal processes will apply.

1.1. Travel costs

Travel costs are eligible for funding and will be paid by the sending country. Travel costs for French project partners who travel to foreign countries will be covered (economy class flight / train ride 2nd class). For booking purposes, CNRS standards and applications apply.

1.2. Living expenses

Living expenses are eligible for funding and will be paid by the sending country. The amounts of the allowances (lump-sum) vary according to the destination country. For calculation purposes, CNRS standards apply.

1.3. Expenses for the organisation of small scientific events in France

In general, all costs directly related to the preparation and the implementation of events like international workshops, conferences, etc. in relation with the project are eligible.

→ Room rent, catering, printing of conference brochures, travel and accommodation of invited external experts (that do not form part of the project consortium but are considered necessary for the success of the event), translation...

The upper limit of 20% of the project budget per partner/year should not be exceeded.

1.4. Research materials and small-scale research equipment

Costs for consumables and small equipment (research equipment, prototypes, computer hard or software, office supplies, purchase of books, etc.) are eligible only on a limited scale and if its need is demonstrated and directly related to the project. Equipment belonging to the applicant's basic facilities is not eligible.

Any consumables necessary for the implementation of the project may be considered as direct eligible costs (i.e. consumable: unit cost less than 800 EUR and/or duration of use less than one year). Consumables are only eligible costs under the project if bought ("service fait") after the start date of the project. Consumables belonging to the applicant's basic facilities are not eligible.

The upper limit of 20% of the project budget per partner/year should not be exceeded.

1.5. Personnel costs

Personnel included in the project may be from CNRS laboratories including joint research units (UMR), whether they are hired by CNRS or by its partner institution. Nonetheless, personnel costs are not eligible for funding.

2. Eligible indirect costs

There are no eligible indirect costs.

→ Is additional/parallel application requested to the funding organisation?

No

Germany - Federal Ministry of Education and Research (BMBF)

Please refer to the German call documents on www.bmbf.de or www.internationales-buero.de as well because this document is binding.

Who can apply?

In Germany, German research establishments and higher education institutions as well as SMEs are eligible. Research establishments that are financed jointly by Bund and Länder can (in addition to their basic funding) only obtain funding for their additional expenses under certain circumstances.

Grants for commercial companies are calculated on the basis of the total project-related costs eligible for funding, up to 50% of which can, as a rule, be covered by government grants, depending on how near the project is to application. The BMBF's policy requires an appropriate own contribution towards the eligible costs incurred - as a rule at least 50%.

Grants for universities, research and science institutions and similar establishments will be calculated on the basis of the eligible project-related expenditure (grants for Helmholtz centres and the Fraunhofer Gesellschaft (FhG) will be calculated on the basis of the project-related costs eligible for funding), up to 100% of which can be covered in individual cases.

What types of funding are eligible for researchers in Germany?

The level of the funding of German researchers may be up to 50,000.00 Euro per year (i.e. overall 150.000 Euro for maximum three years of funding). In case two or more partners of a project are located in Germany, they may together request the aforementioned maximum sum.

1. Eligible direct costs

The national rules will be applied.

1.1 Travel costs: As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for German project partners who travel to foreign countries will be covered (economy class flight / train ride 2nd class). Visa fees for Japan and vaccine costs for vaccinations officially recommended for Japan by the German Foreign Ministry will also be reimbursed.

1.2 Living expenses (including accommodation costs): As a general rule, the daily subsistence allowance for exchanges between countries participating in the EIG CONCERT-Japan Joint call will be covered by the sending country. For Japan and Norway the daily subsistence allowance for German project partners is 107 Euro per day for up to 22 working days. For stays between 23 and 30 working days, a monthly fee of 2392 Euro will be paid for each 30 days. For individual days in a subsequent month a per diem of 80 Euro will be paid. The stay of German scientists in Japan will be supported by a monthly fee of 2392 Euro (for a max. of 3 months). For individual days in a subsequent month a per diem of 80 Euro will be paid.

For Turkey, France, Spain, Slovakia and Lithuania the daily subsistence allowance for German project partners is 94 Euro per day for up to 22 working days. For stays longer than 23 days a monthly fee of 2116 Euro will be paid for each 30 days. For individual days in a subsequent month a per diem of 70 Euro will be paid.

1.3 Expenses for the organisation of small scientific events in Germany (including the invitation of external experts): Grants can be provided towards expenses such as room rent and catering, accommodation of guests, transfer costs in Germany (daily allowances will not be paid in this case).

1.4 Research materials and small-scale research equipment (no basics, e.g. Computer hard- or software, office supplies, etc.): only on a limited scale and if its need is demonstrated. An upper limit of 20% of the project budget per partner / year should not be exceeded.

1.5 Personnel costs: only for coordination and support, not for research itself. The upper limit of 50% of the project budget per partner / year should not be exceeded.

2. Eligible indirect costs

There are no eligible indirect costs.

Is additional/parallel application requested to the funding organisation?

Yes. General BMBF funding regulations apply. German researchers whose proposal is recommended for funding by the scientific committee will have to submit a formal proposal in order to prepare the contracts.

Funding organisation

Ministry of Economy, Industry and Competitiveness – through the State Research Agency (MINECO - AEI)

Funding programme

Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad, Plan Estatal de Investigación Científica y Técnica y de Innovación 2013-2016. [Enlace a Plan Estatal](#)

The instrument for funding the Spanish groups will be the Spanish Call on International Joint Programming Actions or equivalent (*Acciones de Programación Conjunta Internacional o equivalente*). Only as a reference, the beneficiaries are advised to read the call [APCIN 2016](#).

The Spanish legal entities granted are obliged by the regulations established in this APCIN call (or equivalent) and by the funding limits specified below.

Call will be managed by the Subdivisión de Programas Científico-Técnicos Transversales, Fortalecimiento y Excelencia.

Purpose of funding

The projects granted by the Ministry of Economy, Industry and Competitiveness must be aligned with the main objectives described in the [Programa Estatal](#).

Initial funding pre-commitment

Maximum funding for the EIG CONCERT- Japan call: 300.000 €

Eligibility

The **eligible entities** for Ministry of Economy, Industry and Competitiveness funding are:

Non-profit research organizations according to the APCIN call or equivalent (*Acciones de Programación Conjunta Internacional o equivalente*).

Although private enterprises are not funded through the APCIN Call, the Spanish industrial sector is welcome to participate in the transnational consortia using their own funds or from other national funding agencies (CDTI) or regional funding agencies.

Final rules on eligibility will be defined in the APCIN call or equivalent, to be published [here](#).

Additional eligibility criteria

Mandatory:

Spanish Principal Investigators **must be** eligible according to the APCIN call or equivalent and must have experience as investigators in projects funded by the *Plan Nacional I+D+i 2008-2011*, the *Plan Estatal I+D+i 2013-2016*, ERC Grants, European Framework Programmes or other relevant international programmes.

Not allowed:

- Principal Investigators are not allowed to apply for funding in more than one proposal of this EIG CONCERT-Japan call.
 - Principal Investigators are not allowed to apply for funding in more than one proposal in the same APCIN call or equivalent. This must be taken into account when participating in different ERA-Nets or other international initiatives.
 - A maximum of two Spanish entities per proposal are allowed to apply for funding in this transnational EIG CONCERT-Japan call. The consortium should be as geographically balanced as possible.
- Principal Investigators have to remain unchanged between the proposal and the National APCIN call (or equivalent).
- Important note: Principal Investigators are not allowed to apply for funding in two consecutive APCIN calls (or equivalent).

The Ministry of Economy, Industry and Competitiveness will avoid double funding (overlapping with other EU or National funding), and will not grant projects or parts of projects already funded.

Eligible costs

- Personnel costs for temporary employment contracts (scholarships are not eligible).
- Current costs, small scientific equipment, disposable materials, travelling expenses and other costs that can be justified as necessary to carry out the proposed activities.
- Indirect costs (overheads) or clinical assays (proofs of concept, proofs of principle) are not eligible for funding in the APCIN call.

Funding rates (approx.)

The following funding limits are considered eligibility criteria. Proposals not respecting these limits could be declared non eligible.

The maximum amount of funding is 75.000 € per proposal and legal entity (additional 25.000 € if the principal project leader of the international consortium is based in Spain).

When two Spanish legal entities participate in the same proposal, the total funding of the Spanish part should not exceed 125.000 € per proposal.

Centres formed by different Spanish legal entities will be considered as one unique entity, and thus the maximum funding should not exceed 75.000 € per proposal (for example, mixed centres).

The final funding will take into account the transnational evaluation of the collaborative proposal, the scientific quality of the Spanish group, the added value of the international collaboration, the participation of the industrial sector and the financial resources available.

Mandatory acknowledgement

Any publication or dissemination activity resulting from the granted projects must acknowledge the Ministry of Economy, Industry and Competitiveness funding: "Project (reference nº XX) funded by the Ministry of Economy, Industry and Competitiveness through APCIN call (or equivalente)".

Turkey - The Scientific and Technological Research Council of Turkey (TUBITAK)

In the frame of this call, the applicants from Turkey will be funded by the following support programmes of TÜBİTAK:

- ARDEB 1001 Research Projects Programme (The Support Programme for Scientific and Technological Research Projects)
- TEYDEB 1509 International Industrial R&D Funding Programme

Proposals that are more academic research oriented are advised to apply for the ARDEB 1001 Research Projects Programme.

Proposals that are more commercial/industrial research oriented are advised to apply for the TEYDEB 1509 International Industrial R&D Funding Programme.

In addition to the national funding regulations provided herewith, all Turkish applicants are strongly advised to check the web page of these programmes for the conditions of funding, and before applying they are strongly advised to contact with the Turkish national contact person.

→ Who can apply?

1001 Programme

Eligible applicants for the ARDEB 1001 Research Projects Programme:

Applicants can apply from universities (public and private), research institutes, public and private corporations.

Project Personnel requirements:

Principal Investigator*, Researchers and Advisors:

- ✓ University personnel should have a PhD degree,
- ✓ Those working in a public institution or a private corporation should have an undergraduate diploma,
- ✓ The Principal Investigator (PI) should be the permanent staff of the organization making the project proposal,
- ✓ Except advisors, the PI and researchers (Co-PI) should reside and work in Turkey (Foreign nationals can be PI/researcher in the projects if they are working in an organization in Turkey),
- ✓ A researcher should have a contribution of at least 10% of the project workload,
- ✓ An advisor is allowed if the project requires special expertise on a specific subject. The number of advisors in a project is limited to the number of specific subjects in the project. The role of advisor in the projects should be explained in detail in the project proposal.

*University presidents and vice presidents, surgeons general, general secretaries, general managers or state department heads, members of the executive committee and advisory board of TÜBİTAK groups cannot be the principal investigator if they are working in those positions as of the application date. They can be researchers in at most two projects.

Please note that further conditions may apply.

It should be noted that any project decided to be funded by TÜBİTAK in the framework of ARDEB 1001 Programme will have to fill in full ARDEB 1001 proposal forms and will have to provide all other requested accompanying documents and attain the necessary signatures and permits in order to be funded.

1509 Programme

Only commercial companies from the private sector can apply. For large firms 60% of the project expenditures, for SMEs 75% of project expenditures that are deemed eligible in the monitoring phase are granted to the beneficiary. Under the programme a certain fraction of eligible expenditures deemed appropriate by TÜBİTAK, is paid to the beneficiary firm, after these expenditures for project activities are made and paid for by the beneficiary firm. Beneficiary firm may demand a certain amount of pre-payment to cover the expenditures to be made.

→ **What types of funding are eligible for researchers in Turkey?**

1001 Programme

The maximum funding per project is 360.000 TL (total for three years) to be shared between participating Turkish partners. There is no annual budget limit for the projects. This amount includes the scholarship payments, however, it excludes payments to the PI, Co-PIs and overhead costs. The total funding will not exceed 100% of the eligible costs.

1. Eligible direct costs

Eligible types of funding under this programme are limited to **personnel costs (scholarships), travel and subsistence costs, purchase of services, equipment and materials**. Please see below for a detailed description of each item. Projects that involve building infrastructure are not funded.

Research Material and small-scale research equipment

Proposals for machine/equipment purchases should be balanced within the total budget. Purchase of consumables should also constitute a reasonable amount balanced with the total budget. A proforma invoice must be submitted for each machine / equipment item and for each consumables item exceeding 10.000 TL of purchase.

Service costs

Some of the project work (product designs, tests, experiments etc.) can be done utilizing the infrastructure of other academic/private foundations. Purchase of services should also constitute a reasonable amount balanced with the total budget and a proforma invoice must be submitted for each service.

Personnel Cost

Personnel costs include only the scholarships. The scholarships for master/PhD students and post-doc researchers are as follows:

If the scholar is not working for a certain fee:

Undergraduate student: 500 TL/month

Graduate student: 2.200 TL/month

PhD student: 2.500 TL/month

Post-doc researcher: 2.800 TL/month

If the scholar is working:

Graduate student: 400 TL/month

PhD: 500 TL/month

Travel Costs

The budget for travel (including living expenses), workshops and seminar organization cannot exceed 40,000 Turkish Liras for the entire project duration. Travels in business class are not accepted.

Living Expenses

For the travel of Turkish researchers, the amount of allowances varies according to the destination country and the conditions of the personnel.

Expenses for the organization of small scientific events

Organization of dissemination events such as meetings, workshops within the scope of the project is eligible and limited to 10.000 TL. This budget can also be evaluated as a purchase of services and a proforma invoice taken from an organization company must be submitted.

Important Note 1: It should be noted that no overhead costs should be stated in international application. The overseas costs and all other payments to the Principle Investigator and Co-Principle Investigators (PTI payments) for the ARDEB 1001 Research Projects Programme application will be calculated later by TÜBİTAK.

Note 2: ARDEB 1001 Scientific Project Programme terms about funding of researchers situated abroad for a certain time in the project are not valid for international cooperation projects.

For ARDEB 1001 Scientific Projects Programme, eligibility of indirect costs should be checked from the programme web page: <http://www.tubitak.gov.tr/tr/destekler/akademik/ulusal-destek-programlari/icerik-1001-bilimsel-ve-teknolojik-arastirma-projelerini-destekleme-pr>

1509 Programme

For this call, the maximum funding per project that will be funded by the TEYDEB 1509 Programme is expected to be between 250.000 – 300.000 Euros (total for three years) to be shared between participating Turkish partners. For the below described eligible direct cost items, there is no upper limit or percentage share of the total budget.

Research material and small-scale research equipment

Supplies and materials are eligible direct costs. Tools, equipment, software and technical documents are also eligible direct costs.

Personnel Costs

Personnel expenses are eligible direct costs. The details can be seen in the implementation principles belonging to programme.

Travel Costs

Travel expenses are eligible direct costs. Eligible travel costs incurred in the frame of the projects funded in this programme can be international or intercity travels by plane, train, bus and ship in economy class.

Living Expenses

Living expenses (subsistence, allowance etc.) are not eligible.

Expenses for the organisation of small scientific events

Organisation of small scientific events is not an eligible cost.

Other eligible direct costs

Other eligible direct costs include the following:

- Costs of R&D services and consultancy related to the project from universities and/or R&D institutions.

2. Eligible indirect costs

1001 Programme

For ARDEB 1001 Programme, eligibility of indirect costs should be checked from the programme web page <http://www.tubitak.gov.tr/tr/destekler/akademik/ulusal-destek-programlari/icerik-1001-bilimsel-ve-teknolojik-arastirma-projelerini-destekleme-pr>

Note: It should be noted that no overhead costs should be stated in international application. The overhead costs for the ARDEB 1001 Research Projects Programme application will be calculated later by TUBITAK.

1509 Programme

For TEYDEB 1509 Programme, only eligible indirect costs are the costs pertaining to the checking and validation of periodic expenses of funded projects by a chartered accountant.

→ **Is additional/parallel application requested to the funding organisation?**

Yes a parallel submission is requested until 14 July 2017:

- **For ARDEB submissions:** The [national application forms](#) and CVs will be sent by email (concertjapan@tubitak.gov.tr) until 14 July 2017. And the printed and original signed documents will be sent to the following address of RUBITAK until 20 July 2017:

Elif DOĞAN ARSLAN
TUBITAK-Uluslararası İşbirliği Daire Başkanlığı
Tunus Cad. No:80 Kavaklıdere
06100 ANKARA

- **For TEYDEB submissions:** Online submission is requested until 14 July 2017 via: <https://teydeb.tubitak.gov.tr/teydebanasayfa.htm>

PLEASE CHECK TUBITAK WEBSITE FOR THE PROGRAMME CONDITIONS:

For ARDEB 1001 Research Projects Programme:

<http://www.tubitak.gov.tr/tr/destekler/akademik/ulusal-destek-programlari/icerik-1001-bilimsel-ve-teknolojik-arastirma-projelerini-destekleme-pr>

For more information please contact:

Alper GÜNGÖR

ARDEB – Engineering (MAG)

Email: alper.gungor@tubitak.gov.tr

Tel:+90 312 468 53 00 - 1230

For TEYDEB 1509 International Industrial R&D Funding Programme:

<http://tubitak.gov.tr/tr/destekler/sanayi/uluslararasi-ortakli-destek-programlari/icerik-1509-tubitak-uluslararasi-sanayi-ar-ge-projeleri-destekleme-programi>

and

http://www.tubitak.gov.tr/tubitak_content_files/TEYDEB/1509/basvuru/1509_Uygulama_Esaslar.pdf

For more information please contact:

Zeynep Aytac

TEYDEB-USETEG

E-mail: zeynep.aytac@tubitak.gov.tr

Tel: 0312 298 9501

For more information please contact EIG CONCERT-Japan Call National Contact Point

Elif DOĞAN ARSLAN

E-mail: concertjapan@tubitak.gov.tr

Tel.: +90 312 2981416

www.tubitak.gov.tr

Lithuania - Agency for Science, Innovation and Technology (MITA)

Please review Lithuanian national rules on www.mita.lt.

→ Funding organisation

Agency for Science, Innovation and Technology (MITA)

In addition to the national funding regulations provided herewith, all Lithuanian applicants are strongly advised to check the web page of MITA for the conditions of funding, and before applying they are strongly advised to contact with the Lithuanian national contact person.

→ Who can apply?

In Lithuania only large, small and medium enterprises are eligible organizations for funding and could be beneficiaries. Eligible expenditures deemed appropriate by MITA, is paid to the beneficiary, after these expenditures for project activities are made and paid by the beneficiary. Beneficiary may demand a certain amount of pre-payment to cover the expenditures to be made. Universities, research and science institutions or similar establishments can participate in the joint call but have to cover the project costs from their own sources.

Grants for commercial companies are calculated on the basis of the total project-related costs eligible for funding, may be up to 80% for SMEs and up to 65% for large companies, of which can, as a rule, be covered by MITA, depending on research and innovation activities, performed in the project. State aid rules apply.

→ What types of funding are eligible for researchers in Lithuania?

The level of the funding of Lithuanian beneficiaries may be up to 33,000.00 Euro per year (i.e. overall 100.000 Euro for maximum three years of funding). In case two or more partners of a project are located in Lithuania, they may together request the aforementioned maximum sum.

1. Eligible direct costs

The national rules will be applied.

1.1 Travel costs: As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for Lithuanian project partners who travel to foreign countries will be covered (economy class flight).

1.2 Living expenses (including accommodation costs): As a general rule, the daily subsistence allowance for exchanges between countries participating in the EIG CONCERT-Japan Joint call will be covered by the sending country. The amounts of the allowances (lump-sum) vary according to the destination country. For calculation purposes, national standards apply.

1.3 Expenses for the organisation of small scientific events in Lithuania (including the invitation of external experts): funding can be provided towards expenses such as room rent and catering, accommodation of experts (that do not form part of the project consortium but are considered necessary for the success of the event). Daily allowances will not be paid in this case. The upper limit of 20% of the project budget per partner / year should not be exceeded.

1.4 Research materials and small-scale research equipment: only on a limited scale and if the need is listed in detail and duly justified. An upper limit of 20% of the project budget per partner / year should not be exceeded.

1.5 Personnel costs: Personnel costs of the researchers, temporally staff, etc., who are hired for the research.

1.6. Other eligible direct costs

Other eligible direct costs include the following:

- Costs of R&D services and consultancy related to the project from universities and/or R&D institutions.

An upper limit of 15% of the project budget per partner should not be exceeded.

2. Eligible indirect costs

There are no eligible indirect costs.

→ Is additional/parallel application requested to the funding organisation?

Yes. Lithuanian company whose proposal is decided to be funded by the FOF will have to submit a formal financial proposal in order to prepare the contracts.

→ Mandatory acknowledgement

Any publication or dissemination activity resulting from the granted projects must acknowledge MITA funding: "Project (reference n° XX) partly funded by MITA".

For more information please contact EIG CONCERT-Japan Call National Contact Point:

Mrs Anzelma Useliene

Anzelma.Useliene@mita.lt

Norway – Research Council of Norway (RCN)

Who can apply?

In Norway, Universities, research institutes and industrial companies are eligible.

For universities and research institutes, up to 100% of eligible cost can be funded. Industry companies can be funded up to 50% (60% for SMEs).

What types of funding are eligible for Norwegian researchers?

The level of funding of Norwegian researchers for each project may be up to 100.000 euro per year (i.e. overall 300.000 euro for the three years of funding). In case more than one Norwegian partner participates in a project, the upper limit is totally 100.000 Euro per year for all Norwegian participants in the project.

1. Eligible direct cost

The national rules will be applied.

1.1 Travel costs

Travel cost for Norwegian participants in the project will be covered based on economy class flight tickets or similar.

1.2 Living expenses

Living expenses including accommodation costs will be covered according to the Norwegian official rates for each country. For longer stays, the general rates for stipends abroad will be used.

1.3 Expenses for organizing small events in Norway

A limited sum can be used for expenses like room rent, catering etc.

1.4 Research material and small-scale research equipment

This will normally not be covered by the project.

1.5 Personnel costs

Personnel costs will be covered according to the general rules for hourly prices for the research institutions towards the Research Council. Personnel cost should be limited to less than 50% of the total cost for each partner, giving room for networking activities and mobility.

2. Eligible indirect costs

There are no eligible indirect costs

There is no need for an additional/parallel application to the Research Council. A copy of the application sent to the call secretary should be sent to the Research Council.

Slovakia: Slovak Academy of Sciences (SAS)

→ Funding organisation

Slovak Academy of Sciences (SAS)

→ Who can apply?

Only research Institutes of Slovak Academy of Sciences are eligible organisations for funding by SAS (up to 100%). Applicants from other Slovak R&D centres (universities and/or other organisations) have to cover the project costs from their own sources (Letter of Commitment).

→ What types of funding are eligible for Slovak researchers?

1. Eligible direct costs

1.1 Personal costs

- must accurately reflect the work on the project ;
- may be used only to cover the costs (including health and social insurance) related to work agreements performed outside of employment ;
- maximum of 20 % of all direct costs.

1.2 Material costs and expenditures

- a) Consumables: minor equipment and instruments, small-scale office and laboratory material (no basic equipment of the workplace; essential computer equipment is exception).
- b) Costs and expenditures for services directly related to the project: contracts, consultations, publication of project results, conference fees.
- c) Travel costs and living expenses: limits for travel costs and daily subsistence allowance vary depending on destination country (pursuant to Slovak Act. 283/2002 Col. Of Laws on travel reimbursement).
- d) Capital expenditures: to a maximum of 40% of all direct costs (current and capital expenditure together).

2. Eligible indirect costs

- Administration, energy and infrastructure
- Maximum of 20% of all direct costs

Funding of projects is regulated by the SAS Financial Rules for awarding grants for research projects approved by the SAS Presidium of 8 December 2016.

→ Is additional/parallel application requested to the funding organisation?

National phase:

Submission of the proposal at the national level will be required in parallel to the international evaluation. The submission will be carried out once the international evaluation and the ranking list have been performed and endorsed by the Funding Organisations Forum and the Slovak project partner has been informed on recommendation for funding by the project consortium coordinator and invited by SAS to submit the proposal to it (Formular MVTs). The Presidium of SAS makes the final decision for funding of selected projects.

Further guidance

- Web site: <http://www.sav.sk/>
- Financial rules for awarding SAS grants for research projects in frame of JRP and ERA.Net Programmes for research institutes of SAS
http://www.sav.sk/index.php?lang=sk&doc=services-news&source_no=25&news_no=4290
- Principles of allocation of funds for the institutes of SAS to support projects in the field of international scientific cooperation

For more information please contact EIG CONCERT-Japan Call National Contact Point:
Ms Katarina Bibova
bibova@up.upsav.sk

Czech Republic: Czech Academy of Sciences (CAS)

Who can apply?

In the Czech Republic, only teams from the institutes of The Czech Academy of Sciences are eligible. SMEs and/or industries may participate on the condition that they secure their own funding.

What types of funding are eligible for Czech teams participating in consortia?

The level of funding for the Czech teams may be up to 60,000.00 Euro per project (3 years), in case two or more project partners in a proposal are from the institutes of The Czech Academy of Sciences, they may together request up to 60,000.00 Euro per project proposal.

1. Eligible direct costs

- ✓ **Mobility costs.** All mobility costs may only be related to networking events and secondments within the project.
 - **Transport costs** of the members of the participating Czech team (economy class flight / train ride 2nd class) are eligible.
 - **Living expenses** on the daily allowance basis (in accordance with the national legislation) and accommodation costs of the members of the participating Czech team are eligible.
- ✓ **Expenses for the organisation of small scientific events** related to the project in the Czech Republic are eligible. The upper limit of 20% of the project budget should not be exceeded.
- ✓ **Costs for consumables and small equipment**, only on a limited scale and if its need is demonstrated, are eligible.
- ✓ **Personnel costs for young researchers** (PhD students and postdocs) participating in the project are eligible. The upper limit of 20% of the project budget should not be exceeded.

2. Eligible indirect costs

There are no eligible indirect costs.

Is an additional/parallel application requested to the funding organisation?

Yes.

Basic information must be submitted on a special form available for download at <http://int.avcr.cz/index.html> to the Contact point at The Czech Academy of Sciences. Following information will be required:

1. Identification of the project: Project title, Name of the principal project coordinator and List of all project partners and their affiliations;
2. Summary of research plans of the Czech project partner(s), their implementation and justification of proposed collaboration (1/2 page);
3. Specification and justification of requested funding (1/2 page);
4. CV of the Czech principal investigator (1 page);
5. Description of the Czech project team, involvement of young researchers is mandatory (1/2 page);

Czech partners in consortia whose project proposal is recommended for funding by the CONCERT scientific committee have to contact the CAS Contact point in order to prepare the contract.

→ For more information please contact EIG CONCERT-Japan Call CAS Contact Point:

Karolína Rachačová
Division of International Cooperation, CAS
rachacova@kav.cas.cz

PART 3: Contact information of the EIG CONCERT-Japan Joint Call Secretariat and National and Regional Contact Persons

The EIG **CONCERT-Japan Joint Call** Secretariat (JCS) is entrusted with the overall operational management of this EIG CONCERT-Japan Joint Call. It is the general contact point for questions related to the Joint Call, the application process and the use of the PT-Outline webtool.

The **National and Regional Contact Persons (NRCPs)** are located in each country/region participating in this EIG CONCERT-Japan Joint Call. One of their main tasks is to advise potential applicants from their countries/regions on the applicable national/regional regulations during the proposal submission process.

<p>CONCERT-Japan Joint Call Secretariat & Contact Person for France Ms. Cerise Randon Centre National de la Recherche Scientifique (CNRS) E-mail: concert-japan-jcs@cnrs.fr Phone: +33(0)1 44 96 40 11</p>
<p>Contact Persons for Japan Clement Ng and Junko Katayama Japan Science and Technology Agency (JST) E-mail: concert@jst.go.jp Phone: + 81 3 5214 7375</p>
<p>Contact Person for Germany Dr. Sabine Puch The German Aerospace Center (DLR) E-mail: sabine.puch@dlr.de Phone: +49 228 3821 1423 Fax: +49 228 3821 1444</p>
<p>Contact Person for Spain Ms. María Jesús Sillero Ministry of Industry, Economy and Competitiveness (MINECO) E-mail: concert-japan@aei.gob.es Phone: +34916037269</p>
<p>Contact Person for Turkey Ms. Elif Doğan Arslan The Scientific and Technological Research Council of Turkey (TÜBİTAK) E-mail: concertjapan@tubitak.gov.tr Phone: + 90 312 2981416</p>
<p>Contact Person for Lithuania Ms. Anzelma Useliene Agency for Science, Innovation and Technology (MITA) E-mail: anzelma.useliene@mita.lt Phone : +370 5 2 127 437</p>

Contact Person for Norway

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Contact Person for Slovakia

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